Research Governance, Ethics & Integrity



Annual statement on research integrity: Academic year 23-24

Section 1: Key contact information

Question	Response		
1A. Name of organisation	King's College London		
1B. Type of organisation:			
higher education institution/industry/independent research performing organisation/other (please state)	Higher Education Institution		
1C. Date statement approved by governing body	18/11/24		
1D. Web address of organisation's research integrity page (if applicable)	https://www.kcl.ac.uk/research/research-environment/rgei/research-integrity		
1E. Named senior member of staff	Name: Stephen Large		
to oversee research integrity	Email address: stephen.large@kcl.ac.uk		
1F. Named member of staff who	Name: Alex Miller Tate / Serena Mitchell		
will act as a first point of contact for anyone wanting more information on matters of research integrity	Email address: alexander.miller_tate@kcl.ac.uk / serena.mitchell@kcl.ac.uk		

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Section 2: Promoting high standards of research integrity and positive research culture. Description of actions and activities undertaken

2A. Description of current systems and culture

Since 2019, King's College London has had a dedicated stand-alone function to ensure the maintenance of high standards of research integrity, and the promotion of good conduct in research as well as a positive research culture, the latter of which has, since 2023, been the purview of a separate central research culture team. The Concordat to Support Research Integrity provides the framework for all activities of the Research Integrity Office (RIO), which adopts a four-pillar approach to achieve this, covering: policies and procedures; training; engagement; and research misconduct. Three Research Integrity Managers (RIMs) take responsibility for these elements, taking responsibility for researcher engagement within three faculties, with research misconduct investigations or more complex research conduct enquiries being divided equally following triage. The RIO also supports a 0.6 FTE Project Co-ordinator who works solely on the UKRN's Open Research Programme (ORP).

Additionally, a Research Integrity Officer took up post in November 2023 (on a temporary basis) to assist with various elements of the work undertaken by the RIO.

The Office manages and supports two staff networks: the Research Integrity Advisor network which provides all research staff at King's with a local point of contact to speak to for advice and guidance on good research practices; and the Research Integrity Champions network who are Vice-Deans (Research) or their nominated equivalents and hold responsibility for ensuring that a culture of good practice and research integrity is promoted and embedded within their faculties.

To ensure co-ordination between central and Faculty activities, the Research Integrity Champions meet on a regular basis with the Research Integrity Office at the Research Integrity Champion Forum and the Research Integrity Office meet with the Research Integrity Advisors on a termly basis

Policies and systems

We expect all King's research to be conducted in accordance with the UK Research Integrity Office's (UKRIO) Code of Practice for Research and this expectation is set out on our externally facing webpages, where research-active

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members of the university are also directed to adhere to the commitments for researchers under the Concordat. Assurances on proper and timely reporting to funding bodies of issues related to research integrity or bullying and harassment are provided by the Memorandum of Understanding existing between the RIO and HR and the Pre-Award Reporting Standard Operating Procedure. A framework is in place to support authorship dispute resolutions, where these are not appropriately handled under the research misconduct procedure. This now uniformly involves recommending the use of the CRediT taxonomy when writing authorship and contributor statements, both as good practice for publishing research outputs and as a tool to help clarify and resolve disagreements among contributors.

King's has a formal procedure to investigate and resolve allegations of research misconduct ('the Procedure') to ensure that we manage fairly, robustly, and effectively any allegations of potential research misconduct. The Procedure aligns with the model version published by UKRIO.

Training

The RIO offers termly training on the fundamentals of research integrity to all research-active staff and students and all colleagues within research support related roles. This training is bookable via the King's training portal, SkillsForge. This interactive 90-minute session receives consistently positive feedback through evaluation. The team also delivers joint sessions with the Research Governance and Ethics teams where requested by Faculties. Typically these are requested for new cohorts of PGR students. The Research Governance and Ethics teams also deliver their own standalone or joint training sessions. More bespoke, discipline-specific training is offered by the RIO in collaboration with our local Research Integrity Advisors (see below for more information on this network). This offers more in-depth consideration of research issues through a discussion-based format using a range of relevant case studies and dilemmas.

Training on topics under the research integrity banner are also delivered by other central teams: Libraries & Collections, including on research data management and open research; the Centre for Research Staff Development, for example on building successful collaborations and managing research funds; and the Centre for Doctoral Studies, such as on writing grant applications and analysing qualitative data.

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Communications and engagement

Effective engagement with faculties is facilitated through the Research Integrity Champion (RICh) and Research Integrity Advisor (RIAd) networks, designed to ensure that research integrity is embedded within our academic communities. These networks support more bespoke localised training efforts (as outlined above), provide the RIO with visible, local advocates for research integrity, and assist the RIO in understanding discipline-specific norms. Success of these networks is reflected by the inclusion of King's as a case study in the UKRIO guidance on this model and is additionally evident in a range of ways, as outlined below:

- Inclusion of research integrity on faculty and departmental meeting agendas.
- Research integrity included in local induction processes for new starters.
- Internal faculty web presence, including in staff handbooks, PGR handbooks and online message boards.
- Discussion of research integrity in grant set-up meetings with Principal Investigators.
- Local promotion of research integrity events (online and in-person).
- Information on good practice shared in faculty and/or departmental newsletters.

The Libraries & Collections (L&C) team has a dedicated researcher focused web presence to provide information and advice on good open research practices and additionally communicates via various newsletters and by providing verbal updates at institutional, faculty and departmental meetings.

In addition to maintaining strong internal networks across King's, the RIO engages in the national and international conversation on research integrity through a range of mechanisms:

- Subscribers to UKRIO.
- Institutional members of UKRN and a contributing member of the ORP.
- Members of the Russell Group Research Integrity Forum (RGRIF); in October 2023 RIO co-organised a RGRIF meeting at University of Birmingham and co-presented a session on research misconduct with a representative from Cambridge University Press
- Co-lead of the London Research Integrity Consortium (LRIC); in January 2024 RIO co-organised a LRIC meeting to discuss recent challenges, research misconduct processes and to share best practice

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- Contributors (via a poster presentation and broader attendance) to the World Conference on Research Integrity: in 2024 held in Athens, Greece.
- In the reporting period, the RIO contributed to several UK Committee on Research Integrity (UKCORI) workshops on indicators of research integrity, intended to eventually output a standardised metric for institutions to self-assess how advanced their research integrity initiatives and practices are.

Culture, development and leadership

The RICh and RIAd networks support the promotion of a positive research environment, with the research integrity advisor network in particular allowing for colleagues to lead and drive change within their local areas. The ability to self-nominate to this role means this opportunity is open to all. Individual faculties have a range of initiatives to address the areas of culture, development and leadership of their researchers.

The Faculty of Dental and Oral-Craniofacial Sciences have established a dedicated Research Staff Committee, which represents the interests of all research-active staff in the faculty, including postdocs, both junior and more experienced PIs, as well as technical and research support staff. They have spearheaded several important initiatives around improving the integrity and culture of research.

The Faculty of Life Sciences & Medicine are continuing to develop a community of advisors alongside the RIAds (including Confidential Advisors as initial points of contact for concerns of bullying and harassment) to enhance the entire faculty's research culture. Joint meetings have been held with individuals in all of these roles to ensure that the distinctness of the roles are clear, that they are able to work together where concerns overlap, and that they are able to effectively signpost to each other and other available resources where needed.

Monitoring and reporting

The department of Research Governance, Ethics and Integrity tracks training delivered across the university. This records the area receiving the training, the audience (staff or students), approximate numbers of attendees, and the subjects covered by the training. This enables us to identify gaps in our coverage, thereby allowing us to adopt a targeted approach in the future.

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The RIO continues to monitor inquiries into research conduct which helps identify trends and problem areas within the University. The Office is also responsible for reporting allegations/findings of research misconduct or bullying & harassment to research funders, as required by the terms of their contracts with the College. The RIO liaises with HR for these purposes where needed, which is aided by the use of a standard proforma and the memorandum of understanding.

2B. Changes and developments during the period under review

During the period under review (1 September 2023 – 31 August 2024) awareness of research integrity at King's has continued to increase steadily, as shown by an increasing number of inquiries received by the RIO.

Initiatives, Policies & Guidance

The Procedure for Investigating and Resolving Allegations of Research Misconduct is currently under review, with the primary goals of making the userfacing document more accessible, and streamlining the process itself to prevent undue delays to resolution. This work is being led by the RIO with assistance from the Research Culture Team and part-time seconded support from Research Impact. Further input will be sought at a later stage from our network of RIAds and RIChs. The RIO has also been developing an institutional code of good research conduct to outline the responsibilities of researchers at King's and to act as a signposting document to relevant research policies.

The RIO has developed guidance for the responsible use of generative artificial intelligence in the conduct, writing-up and dissemination of research at King's This guidance is available on the RIO internal webpages, includes an infographic for ease of reference, and will be updated at regular intervals according to advances in both the technology and norms regarding its use within the HE sector. This is a part of several broader initiatives to support King's faculty to make effective use of this novel technology in their projects should they wish to, while maintaining research excellence.

King's has continued to make a significant contribution to the UKRNs ORP, The Project-Coordinator has co-developed the programme evaluation framework, built internal networks, created new induction materials for new programme

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members and set up local processes to facilitate the initiation of the train-the-trainer workstream, The King's Dean for research culture led the training project for the duration of the reporting period which has released two tranches of train-the-trainer sessions for participating institutions. As part of the ORP the RIO has also been involved in the open research indicators project, co-leading a group of 9 institutions that are looking to develop tools for assessing the prevalence of the use of CRediT taxonomy in authorship statements. This work is scheduled to be completed at the end of 2024.

The central RC team have developed a Contribution and Authorship Policy to encourage the uptake of CRediT taxonomy with the aim of more effectively recognising all contributions to research. The policy is due to be considered by the College Research Committee in September 2024.

The Research Governance team have established a Security Sensitive Research (SSR) Expert Advisory Panel to support and provide advice on security sensitive research applications prior to submission through the college ethics processes. The first meeting of the panel was held in February 2024 and the panel have contributed to the development of the SSR registration forms and SSR procedure. Our Clinical Governance team has continued to develop their sponsorship and amendment review guidance and supporting documentation for King's sole sponsored research requiring IRAS submission. The team have also improved internal review processes by introducing a validation step into their sponsorship reviews which from initial data is demonstrating improved timeframe efficiencies of <12%. In May 2024 the Governance team also finalised an International Studies Risk Assessment matrix and procedure which is now being piloted on all King's sole sponsored clinical studies involving overseas sites, and international clinical trials submitted through the College Ethics processes.

The Research Ethics team have published a new policy that sets out the principles, responsibilities and requirements for all research involving human subjects conducted under the auspices of King's College London and provides details of the ethical review process operated by the University. The team have also published guidance on their internal webpages around the use of deception and incomplete disclosures in research, incentives for research participants and guidance around the ethical considerations when using trials as a research method.

The Faculty of Arts & Humanities have led several initiatives to support staff engaged in research on potentially traumatic or emotionally demanding subject areas – this included a session in October 2023 led by the Co-Chairs of the

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UK/IE Community Interest Group "Protecting the Investigator in Traumatic Research Areas", and a support group for Ph.D students and ECRs undertaking this kind of work led by Dr. Zoe Norridge.

The Institute of Psychology, Psychiatry & Neuroscience has conducted and hosted a series of blog-style interviews with staff called "Research Culture Insights", which have discussed many topics under the research culture and integrity umbrella.

The RIO has continued contributing to an electronic laboratory notebooks project which has assessed a variety of options and vendors for the provision of institutional electronic laboratory notebooks. LabArchives was selected and is currently being piloted before full institutional rollout in late 2024. Site-wide access to this software will allow for accurate documenting, retrieval, and timestamping of data, to improve research integrity.

Training

The RIO has developed three online training modules on the Articulate platform, covering a general introduction to research integrity, an overview of the research integrity environment at King's in particular, and an outline of the topic of research misconduct in the context of the King's Procedure. These are expected to be made available to researchers by the end of this calendar year, and will become mandatory for all new research staff and post-graduate researchers over the course of the next two academic years.

Similar to the RIO, the Research Ethics team have also set up a termly training session for staff and students that anyone can book onto through the institutional training portal.

In addition to the general training sessions described above, the RIO has also provided a few bespoke sessions on particular research integrity topics during the reporting period. These include:

- An overview and case-study based discussion session on research integrity and the use of generative artificial intelligence at the (part of King's Business School) research staff away day (June 2024).
- A brief overview of research integrity and the use of generative artificial intelligence at the FoDOCS Research Staff Away Day (July 2024).

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- An overview of funder reporting requirements in research misconduct and B&H investigations for the Employee Relations team in HR (March 2024).
- A seminar with Prof Cary Moskovitz speaking on the topic of text recycling and self-plagiarism (October 2023)

The Institute of Psychology, Psychiatry and Neuroscience hosted two research integrity-related events in the reporting period. The first was an afternoon session in December 2023 co-organised by the RIO and the Faculty Research Integrity Advisors. This saw presentations from the RIO, the Dean of Research Culture, local researchers as well as representatives from Wellcome, UKRI and Cambridge University Press. During the summer, the Faculty also hosted an Open Research Summer School from 22-26/07 2024, (co-organised by the Research Innovation Committee and the RIOT Science Club) Topics covered at talks and roundtables included scholarly communication, questionable research practices, and open research practices. There were also hands-on workshops covering topics in reproducibility, open data, and more.

The Faculty of Arts & Humanities ran a series of informal lunchtime sessions on a variety of topics falling under the area of good research practice (the "Research Development Programme"). Several of these sessions were supported directly by the RIO and other RGEI teams, while others had support from Libraries & Collections. This series was run twice over the course of the year.

The Faculty of Dental and Oral and Craniofacial Sciences organised two in-house refresher sessions on lab etiquette and safety (covering topics such as health & safety, good research/laboratory practices and laboratory research culture) after identifying the specific need via input from the Centre for Host Microbiome Interactions.

The Faculty of Life Sciences & Medicine have ensured that the RIO has a regular training slot in every set of new PGR inductions, making research integrity a core aspect of this package of materials that introduce the next generation of researchers to King's.

A detailed package of export control training was rolled out in February 2024 as a pilot project for relevant King's researchers and support staff. The training materials and the portal that delivered it was compiled by HEECA (The Higher Education Export Control Association) and made available to the entire UK HE sector. More than 90 institutions are actively engaged with this training. As the

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subject matter was likely to be relevant to only a relatively small number of academics and administrators the training was deemed by King's to be non-mandatory, nevertheless more than 120 King's staff members have now completed it. The King's International Regulations Manager participated in a full day workshop to review feedback from participants across the UK and to draft improvements for the latest version. The International Regulations Manager also represented King's at two high profile HEECA conferences at the Fraunhofer Institute in Munich in February 2024 and in Glasgow in June.

Developments

1FTE Head of Research Culture and 2 x 1FTE 24-month Research Culture Managers joined RGEI in Autumn 2023, focused their initial energies on the cocreation of the King's Research Culture Vision and Delivery Plan, a document detailing the King's specific definition of research culture growth and guiding target intervention at central and faculty levels. After publishing and disseminating this plan, the team have focused on designing mechanisms for monitoring research culture locally and across the institution, on policy intervention in responsible research assessment and authorship, and on the consolidation of King's place in the national and international Research Culture space, culminating in the inaugural King's Research Culture Symposium in January 2025. The RIO is increasingly working in collaboration with the central Research Culture team, with fortnightly joint meetings, regular feedback on each other's ongoing projects, and the design and delivery of joint training sessions. This is aided by the current Head of Culture's interim appointment as Head of Culture & Integrity.

The Faculty of Arts & Humanities have appointed a Research Integrity Facilitator on a 0.6 FTE contract, who will (among other duties) act as a point of contact within the faculty for confidential advice and guidance on research integrity issues, and develop area-appropriate training, all in collaboration with the central RIO and local research integrity advisors where necessary. The RIO are in regular contact with the individual in-post to provide any required support.

The Faculty of Arts & Humanities also launched a research hub in Autumn of 2023, which is a one-stop shop signposting researchers to support and advice available to them in the areas of research integrity and culture. The goal is for the hub to eventually share toolkits and training materials to assist individual

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departments with their own awareness raising in ways that are specifically relevant to research in Arts & Humanities.

The Faculty of Social Science & Public Policy, and the Institute of Psychology Psychiatry & Neuroscience have appointed dedicated professional services managers (Research Culture Manager/Research Culture Support Manager, respectively) to lead in the area of research culture, who have been in post since February 2024, and who are leading on initiatives to promote a positive research environment within the faculties. They join a Research Culture Manager in post within the Faculty of Arts & Humanities.

The Faculty of Dental and Oral and Craniofacial Sciences' research staff committee have developed a template agreement document to be completed by all supervisors (of Ph.D and postdoctoral researchers) and supervisees within the Faculty, with the goal of standardising expectations around support and responsibilities on all sides in these critical supervisory relationships. This is in use within the Faculty, and others (including the IoPPN) are working on similar initiatives.

International Regulations is a growing area of work at King's and addresses practical and legal obligations arising from export control regulations, national security, sanctions and embargos, U.S. extraterritorial regulations and, recently, compliance with access and benefit sharing obligations arising from the Nagoya Protocol.

King's International Regulations policy was published late in Academic Year 2022/23 and was backed up by a programme of outreach to all faculty Vice Deans of Research and appropriate Research Committees during the course of the reporting period.

The International Regulations Manager also established a Trusted Research Committee with executive sponsorship from the Vice President (Research & Innovation) and Senior Vice President (Operations) and held its inaugural meeting in early July 2024. The Committee has been established at the encouragement of the UK government but will also address an increasing and very wide-ranging level of scrutiny from funders. We hope that this will provide an integrated, holistic, approach to matters such as process and procedure, ownership and accountability, staffing and resiliency, to name just a few.

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2C. Reflections on progress and plans for future developments

This should include a reflection on the previous year's activity including a review of progress and impact of initiatives if known relating to activities referenced in the previous year's statement. Note any issues that have hindered progress, e.g. resourcing or other issues.

Review of progress and impact

In line with last year, the total number of inquiries and cases have risen although there was a slight decrease in formal cases which may indicate that staff and students are approaching RIO or RIAds at an earlier stage with issues. This, in turn, may facilitate informal resolution of these issues.

Plans for future development

- RIO will initiate pilot testing of the online training modules with the intention that these become mandatory for PGR students and new research staff in the first instance.
- RIO plans to finalise, publish and promote the institutional Code of Good Research Practice.
- The UKRN ORP will continue to rollout the train-the-trainer project and over the next reporting period, trainers will go on to deliver training at King's.
- The Research Ethics team have developed a training session for supervisors of students and staff conducting research that requires ethical approval and this is planned to be rolled out over the coming year.
- The Research Culture team will be supporting the King's Research Culture Symposium in January 2025.
- The Faculty of Dental and Oral and Craniofacial plans to roll out the lab safety & etiquette training sessions and promote the use of electronic laboratory notebooks across the Faculty.
- The Faculty of Nursing, Midwifery & Palliative Care is hosting an inclusive research and education practices project, which will get underway in the next academic year. This is looking at three workstreams: increasing the diversity of researchers in the Faculty; increasing the

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- diversity of research participants; and increasing the diversity of patient and public engagement members.
- The Institute of Psychology, Psychiatry & Neuroscience plans to run an annual Faculty Research Integrity event as well as running an open research survey, to identify strategic training objectives, map the current familiarity with and practice of Open Research, and identify pockets of good practice.
- The Faculty for Social Science and Public Policy intend to host a
 workshop on ethics and integrity in research collaborations as well as a
 PGR research culture lunch to allow PGRs an opportunity to feedback
 views on local research culture and new workshops/training sessions they
 think are needed. They also intend to develop an ECR new starter pack
 which will highlight both research culture and research integrity and
 develop local authorship guidance.
- The Faculty for Arts & Humanities plans to set up a reflective practice group in January 2025 to be offered to researchers working in traumatic/sensitive areas externally facilitated by Youth Beyond Borders who have experience of running these sorts of session in schools. They also intend to include research integrity in PGR induction material and

Issues hindering progress

There has been a significant resourcing issue within the Research Integrity Office within the reporting period. For various reasons, including delays appointing a new RIM after a resignation and another RIM being seconded to another role, for most of the reporting period there have effectively only been two RIMs in post at any given time, rather than the intended three (though the effect of this has been mitigated to some degree by the appointment of the temporary Research Integrity Officer noted above).

Although the increase in informal case resolutions (noted above) is a positive indication, responding to and dealing with a high-volume of informal inquiries is still time consuming for the RIMs and takes away from time that could be spent on proactive initiatives, training and policy work.

2D. Case study on good practice (optional)

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Please describe an anonymised brief, exemplar case study that can be shared as
good practice with other organisations. A wide range of case studies are valuable,
including small, local implementations. Case studies may also include the impact
of implementations or lessons learned.

[Please insert response]

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Section 3: Addressing research misconduct

3A. Statement on processes that the organisation has in place for dealing with allegations of misconduct

King's College London is committed to conducting its business in a fair and transparent manner. As an institution, we are committed to creating an inclusive and respectful environment for all members of our community. We are a large and complex organisation, with many different stakeholders, and therefore many different routes for resolving varied concerns or complaints.

A simple way for students, staff, and visitors to report incidents of inappropriate behaviour and access support services is through the Report + Support portal. Our Bullying & Harassment Policy outlines the University's commitment to preventing and effectively addressing bullying and harassment, enabling a culture where all individuals are valued and supported to succeed.

The University has a formal Procedure to investigate and resolve allegations of research misconduct (the 'Procedure'). The Procedure should be reviewed every three years. The Procedure is currently under a thorough, systematic review as part of the 'revamp' described above.

The Procedure is to be carried out in accordance with the principles of fairness, confidentiality, integrity, prevention of detriment, and balance, and these are defined with Annex 1 of the Procedure. There are appropriate mechanisms and safeguards in place within the Procedure to ensure adherence to these principles and that the process is transparent and robust. Accompanying guidance for managing an appeal, to promote a robust and fair process, is made available in the event of an appeal.

King's makes every effort to meet its obligations to external bodies, including regulatory and professional bodies, regarding the initiation or completion of a formal investigation. To the knowledge of the Research Integrity Office, King's has met such obligations.

Any concerns, complaints, or allegations may also be made under the King's Whistleblowing Policy.

Creating a safe environment for concerns to be raised

The network of Research Integrity Advisors was developed to support informal liaison processes. Enquiries reported from various faculties suggests this approach

Template developed by the UK Research Integrity Office with the research integrity concordat signatories group

has been successful and that students and staff feel comfortable in approaching a trusted colleague. The Faculty of Arts & Humanities are building on this successful network by creating a dedicated PS Research Integrity Facilitator post within the faculty.

Processes (formal and informal) for reporting concerns about research conducted in King's name is communicated to our community of research-active staff and students through our 10 training sessions and is also visible on our webpages. The RIO provides assurance to researchers that they should feel safe to report poor research practices, either to us or at local level. The RIO encourages researchers to approach us or local contacts (ordinarily the Research Integrity Advisors) if they feel that they or others have failed to meet the expected standards of good research practice, so that we can offer appropriate advice on how to mitigate any risk, and then advise on the next steps should it be appropriate to report research misconduct.

Information about the Procedure is available on our Research Misconduct webpage, along with advice and support, to all staff, students, and individuals external to the university who wish to raise an issue about the conduct of research undertaken in King's name.

During an investigation, we may signpost to mental health support provided by King's to staff and students, where appropriate.

Lessons learned

The proportion of cases where one or more parties seek or mention legal representation/action have continued to slowly increase during the reporting period. This has, in turn, resulted in concerns from panel members regarding personal liability/risks for findings made and reports issued in the course of investigating, and in some cases made it difficult to confirm panel members. The RIO has worked with the KCL Legal team to provide prospective panel members with reassurances regarding these concerns.

Outcomes of cases and investigations continue to show that guidance on good authorship practices are needed, especially where there are significant seniority gaps between authors, or commercial/Intellectual Property implications. It is hoped that the Contribution and Authorship policy mentioned above, developed by the central RC team, will assist with this issue.

3B. Information on investigations of research misconduct that have been undertaken

This table reports on the number of **allegations decided on** during the academic year 23-24 (including those associated with investigations which completed during this period but started in a previous academic year). Information from ongoing investigations has not been submitted. The information below concerns the total number of allegations made and reviewed, which arise from six separate investigations under the *Procedure*, including one for which no allegation proceeded beyond the screening phase. For clarity, this statement reports the total number of allegations, not the total number of cases, as each case involves multiple allegations (in this reporting period, each closed case included an average of 4 allegations).

The Procedure includes a screening stage to determine whether an investigation needs to be completed into an allegation. Allegations subject to screening have been included in the first column but only those that proceeded past this stage, to initial investigations and/or full inquiries, have been included in the second column.

Type of	Number of allegations			
allegation				Number
	allegations	Number of	upheld in	upheld in
	reported to	formal	part after	full after
	the	investigations	formal	formal
	organisation		investigation	investigation
Fabrication	1	1	0	0
Falsification	5	4	2	0
Plagiarism	6	6	0	0
Failure to meet	8	7	0	0
legal, ethical and				
professional				
obligations				
Misrepresentation	4	3	2	0
(eg data;				
involvement;				
interests;				
qualification;				
and/or				
publication				
history)				
Improper dealing				
with allegations of				
misconduct				

Multiple areas of				
concern (when				
received in a				
single allegation)				
Other*				
Total:	24	21	4	0

^{*}If you listed any allegations under the 'Other' category, please give a brief, high-level summary of their type here. Do not give any identifying or confidential information when responding.

[Please insert response if applicable]